



Acceptance of Payment for Travel Expenses from a Non-Federal Source

Form AD-1101- Approval Procedure and Instructions

A. EMPLOYEE/TRAVELER INSTRUCTIONS

1. Obtain a copy of the invitation which **specifically includes the travel expenses to be covered** (email invitations accepted).
2. Complete Parts I-V of Form AD-1101.
3. Digitally sign and electronically submit Form AD-1101, a copy of invitation (which includes the specific offer to cover travel expenses), and unsigned draft letter of acceptance (which is signed and sent after travel is approved, a model of which can be found at: http://ethics.usda.gov/science/docs/forms/SAMPLE_LETTER_OF_ACCEPTANCE.doc , via email, to your supervisor.
4. Please Note: When completing the GovTrip authorization, indicate in the comments section if the travel expenses are being reimbursed by a non-Federal source.

B. SUPERVISOR RESPONSIBILITIES

1. Review Form AD-1101 for the following:
 - a. The travel is being provided **to the Agency**.
 - b. Attendance is for a **meeting or similar function** that is not mission essential.
 - c. The employee has been authorized to attend in his or her **official capacity**.
2. Complete Part VI (Immediate Supervisor's Review) if applicable. If, however, you are the Approving Agency Official, **skip Part VI and complete/sign Part VIII instead**.

(**Note: Agencies where the Immediate Supervisors are the Approving Agency Official are identified below by a double asterisk ****).

3. Digitally sign and submit Form AD-1101, invitation, and draft letter of acceptance via email to the proper ethics office listed below.

C. APPROVING AGENCY OFFICIAL RESPONSIBILITIES

1. Review conflicts analysis and complete Part VIII.
2. Digitally sign and electronically submit Form AD-1101, via email, to the Office of Ethics.

ELECTRONICALLY SUBMIT FORM AD-1101 (AND ATTACHMENTS) TO THE PROPER ETHICS PROVIDER

- Civil Rights; Departmental Management; Foreign Agricultural Service; Office of Budget and Program Analysis; Office of Communications; Office of Congressional Relations; Office of Homeland Security; Office of the Inspector General; Office of the Chief Economist; Office of the Chief Financial Officer; Office of the Chief Information Officer; Office of the Executive Secretariat; Office of the General Counsel; Office of the Secretary; Risk Management Agency. Please submit your completed form and materials to: DAEO.ETHICS@OE.USDA.GOV
- Farm Service Agency employees. Please submit your completed form and materials to: ETHICS-FARM-FSA@OE.USDA.GOV
- Natural Resources Conservation Service employees. Please submit your completed form and materials to: ETHICS-FARM-NRCS@OE.USDA.GOV
- Rural Development employees. Please submit your completed form and materials to: ETHICS-FARM-RD@OE.USDA.GOV
- Agricultural Marketing Service, Animal and Plant Health Inspection Service, Grain Inspection, Packers and Stockyards Administration, Food and Nutrition Service, Food Safety and Inspection Service, National Appeals Division employees. Please submit your completed form and materials to: ETHICS-MARKETINGBRANCH@OE.USDA.GOV
- ****Agricultural Research Service (ARS); **Economic Research Service (ERS), **Forest Service - Research & Development (FS R&D); **National Agricultural Statistics Service (NASS); **National Institute of Food and Agriculture (NIFA):** Please submit your completed form and materials to the appropriate Agency/Area Ethics Advisor/Liaison. A list of current ethics personnel can be found at <http://ethics.usda.gov/science/docs/agency-ethics-contacts.pdf>
- ****U.S. Forest Service employees** please submit your completed form and materials to FSETHICS@OE.USDA.GOV **UNLESS** you are with *International Institute for Tropical Forestry, Rocky Mountain Research Station, Southern Research Station, Northern Research Lab, Forest Products Lab, Pacific Northwest Research Station, Pacific Southwest Research Station, Washington R&D Deputy Area* in which case please submit your form and materials to the listed ethics personnel found at <http://ethics.usda.gov/science/docs/agency-ethics-contacts.pdf>



**United States Department of Agriculture
Office of Ethics**

AD-1101

APPROVAL AND REPORT OF TRAVEL FUNDS RECEIVED FROM NON-FEDERAL SOURCES

Note: Certain information collected on this form, for gifts of travel greater than \$250, is provided to the US Office of Government Ethics semi-annually for review and is publically available at <http://www.oge.gov/Open-Government/Travel-Reports/Travel-Reports>

PART I: EMPLOYEE INFORMATION

1. Name	2. Position Title
3. Duty Location (Address)	4. Ethics Provider: <i>(Forestry and Science Ethics Branches Only [SELECT ONE])</i>
5. Telephone	6. Email Address

PART II: SPOUSE'S TRAVEL (IF APPLICABLE)

1. Name	2. Departing Travel Date	3. Return Travel Date
4. Reason for Spouse's Travel		

PART III: EVENT INFORMATION

1. Kind of Event (select one of the following): <input type="checkbox"/> Meeting <input type="checkbox"/> Seminar <input type="checkbox"/> Conference <input type="checkbox"/> Speaking Engagement <input type="checkbox"/> Other (specify):			
2. Specific Location of Event			
3. Title of Event			
4. Description or Purpose of Event			
5. Event Start Date	6. Event End Date	7. Departing Travel Date	8. Return Travel Date
9. Name of Benefit Source (name of non-Federal source covering your travel expenses)			
10. Name of Event Sponsor (list only if different than Item 9, above)			

PART VI: IMMEDIATE SUPERVISOR'S REVIEW [If the Immediate Supervisor is also the Approving Official For the Agency, Please skip to Part VIII]

I certify that this employee has been assigned to travel to the above noted event in his or her official capacity, and that his or her attendance furthers the agency's mission.

1. Immediate Supervisor's Name	2. Telephone	3. Email Address
4. Immediate Supervisor's Signature	5. Date	

Immediate Supervisor: After your review and digital signature, please forward (1) This Form, (2) Copy of invitation that includes the offer of monetary support, and (3) The draft letter of acceptance to the appropriate ethics office for review.

PART VII: RECOMMENDATION OF USDA ETHICS OFFICIAL

1. Name of USDA Ethics Official	2. Title of USDA Ethics Official
<input type="checkbox"/> 3. Request as described above complies with the applicable ethical rules and statues.	
<input type="checkbox"/> 4. Request as described above <i>does not comply</i> with the applicable ethics rules and statues.	

Note: The authorized agency official may find that, while acceptance from the non-Federal source is permissible, it is in the interest of the agency to qualify acceptance of the offered payment by, for example, authorizing attendance at only a portion of the event or limiting the type or character of benefits that may be accepted.

5. Comments or Qualifications on Acceptance

6. Ethics Advisor's Signature	7. Date
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PART VIII: APPROVING AGENCY OFFICIAL'S DETERMINATION

I certify that after reviewing the submitted materials, I, the undersigned *Approving Agency Official* have Approved Disapproved acceptance of the unsolicited offer of travel, subsistence, and related expenses from the non-federal source in advance of the proposed travel being accomplished by the employee.

Comments:

1. Approving Official's Name	2. Telephone	3. Email Address
4. Approving Official's Signature	5. Date	

Approving Official: Must return this form after rendering a decision to the appropriate Ethics Office in order to comply with Office of Government Ethics Semi-Annual Reporting Requirements.